SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addr <u>STAHL MU</u>		ing Person <sup>*</sup>					Name and Pacific							(Cheo	lationship of R k all applicabl Director	eporting Persor e)	(s) to Issuer 10% Ov	vner
(Last) C/O HORIZON	(First)		iddle)			Date of /03/20	Earliest Tr	ansactio	n (Mon	ith/Day	/Year)				Officer (gi below)	ve title	Other (s below)	
470 PARK AV					4.1	f Amer	idment, Da	te of Ori	ginal Fi	led (M	onth/Day/Y	ear)			Form filed	/Group Filing (C	ing Person	
(Street) NEW YORK	NY	10	016												Form filed	l by More than (	One Reportin	g Person
(City)	(State)	(Zi	p)															
		Та	ble I - I	Non-Der	rivati	ve S	ecuritie	· · ·	uired,	Disp	osed of	, or E	Benefi	cially O	wned			
1. Title of Securit	y (Instr. 3)		Da	. Transactio ate Month/Day/\		if any	emed tion Date, n/Day/Year)	3. Trans Code 8)			curities Acc osed Of (D)			5) Secu Bene Follo	nount of irities eficially Owned owing Reported saction(s)		Beneficia	of Indirect Il Ownership
								Code	v	Amo		A) or D)	Price		r. 3 and 4)			
Common Stock				02/03/20	23			Р			1	A	\$2,0	06	43,276(1)	Ι	POLES OFFSE FUND	IORE
Common Stock				02/03/20	23			Р			2	A	\$2,0	20	104,905(1)	I	HORIZ KINET HARD LLC	
Common Stock				02/03/20	23			Р			2	Α	\$2,0	20	1,523(1)	I	HORIZ COMM	ON ION INC
Common Stock				02/03/20	23			Р			3	Α	\$2,0	20	2,037(2)	D		
Common Stock				02/03/20	23			Р			1	A	\$1,9	89	1,678(1)	I	HORIZ CREDI OPPOF FUND	T RTUNITY
Common Stock				02/03/20	23			Р			12	A	\$2,01	2.5 1	97,502 <sup>(3)(4)</sup>	I	HORIZ KINET ASSET MANA LLC	ICS
Common Stock															1,472 <sup>(1)</sup>	Ι	HORIZ KINET HARD II LLC	
Common Stock															2,484 <sup>(1)</sup>	I	CDK PARTN	IERS LP
Common Stock															5,549(1)	I	CDK F LTD	UND
Common Stock															<b>60</b> <sup>(1)</sup>	I	SPOUS	E
			Table I								sed of, c nvertibl				ned			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deer Executio if any (Month/E	med on Date,	4. Trans Code 8)	action	5. Numl Derivati Securiti Acquire or Disp (D) (Ins and 5)	per of ve es d (A) psed of		Exerc	isable and	7. Tit Secu Deriv	le and A	mount of derlying curity	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				ſ	Code	v	(A)	(D)	Date	sable	Expiration Date	Title		Amount or Number of Shares		Transaction(s) (Instr. 4)		

(Last)	(First)	(Middle)
C/O HORIZON I	KINETICS LLC	
470 PARK AVE	S 8TH FL S	
(Street)		
NEW YORK	NY	10016
(City)	(State)	(Zip)
	s of Reporting Person <sup>*</sup>	Г MANAGEMENT
		<u>ſ MANAGEMENT</u>
HORIZON KI		<u>MANAGEMENT</u> (Middle)
HORIZON KI LLC	(First)	
HORIZON KI LLC (Last)	(First)	
HORIZON KI LLC (Last) 470 PARK AVEN	(First)	
HORIZON KI LLC (Last) 470 PARK AVEN 4TH FLOOR SOU (Street)	(First)	
HORIZON KI LLC (Last) 470 PARK AVEN 4TH FLOOR SO (Street)	(First) NUE SOUTH UTH	(Middle)

## Explanation of Responses:

1. The amount of common shares of the Issuer reported excludes other accounts in which Mr. Stahl has a non-controlling interest and does not exercise investment discretion. These accounts are managed by Horizon Kinetics Asset Management LLC ("Horizon"), in which Mr. Stahl serves as Chairman, Chief Executive Officer and Chief Investment Officer but does not participate in investment decisions with respect to the securities of the Issuer. Mr. Stahl disclaims beneficial ownership except to the extent of his pecuniary interest, if any.

2. Mr. Stahl does not exercise investment discretion with respect to the securities of the Issuer. These accounts are managed by Horizon Kinetics Asset Management LLC ("Horizon"), in which Mr. Stahl serves as Chairman, Chief Executive Officer and Chief Investment Officer but does not participate in investment decisions with respect to the securities of the Issuer.

3. The amount reported as held following the transaction excludes approximately 1,206,558 shares as noted in Horizon's Schedule 13D filed on January 26, 2023, for which Horizon does not have a pecuniary interest and also excludes shares purchased by portfolio managers and other employees of the firm for their personal accounts.

4. The amount of common shares of the Issuer reported excludes partnerships and other accounts in which Mr. Stahl has a controlling interest and are reported separately. These accounts are managed by Horizon, in which Mr. Stahl serves as Chairman, Chief Executive Officer and Chief Investment Manager but does not participate in investment decisions with respect to the securities of the Issuer. Mr. Stahl disclaims beneficial ownership except to the extent of his pecuniary interest, if any.

/s/Jay Kesslen, attorney-in-fact \*\* Signature of Reporting Person

02/06/2023 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.